



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Andrew E. Fano et al.

) Examiner: Not Assigned

) Art Unit: Not Assigned

Application No. 09/520,943

) Atty. Docket No. AND1P525

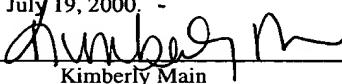
Filed: 3/8/2000

) Date: 7/19/2000

For: SYSTEM, METHOD AND ARTICLE OF  
MANUFACTURE FOR MAKING FINANCIAL  
DECISIONS BY BALANCING GOALS IN A  
FINANCIAL MANAGER

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 19, 2000.

Signed: 

Kimberly Main

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Assistant Commissioner for Patents

**Box: Missing Parts**

Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete reply, dated July 10, 2000 Applicants hereby attach an original executed Declaration and Power of Attorney, an Assignment document, an Assignment Recordation Cover Sheet, and the copy of the Notice to be returned with this response.

The Commissioner is authorized to charge any fees that may be due to our Deposit Account No. 50-0797 (Order No. AND1P525). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,  
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Sector #13

## FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/520,943

03/08/2000

ANDREW E FANO

AND1P525

23053

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Date Mailed: 07/10/2000

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

***Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on 06/23/2000 to the Notice to File Missing Parts (Notice) mailed 05/01/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/27/2000 CVORACHA 00000052 09520943

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